

Equicontinuity. Barrelled and bornological spaces

(EXERCISES FOR LECTURES 10–11)

10.1. Let X and Y be l.c.s.'s, and let $S \subset \mathcal{L}(X, Y)$ be an equicontinuous set. Show that

- (a) the topology of pointwise convergence on elements of any dense subset $D \subset X$ and the topology of uniform convergence on compact subsets of X induce the same topology on S ;
- (b) if X is separable and Y is metrizable, then S is metrizable for the topology of pointwise convergence.

10.2. Let X and Y be l.c.s.'s. Suppose that X is barrelled.

- (a) Show that, if (φ_n) is a sequence in $\mathcal{L}(X, Y)$ which converges pointwise to a map $\varphi: X \rightarrow Y$, then $\varphi \in \mathcal{L}(X, Y)$. Deduce that, if Y is sequentially complete, then so is $\mathcal{L}_s(X, Y)$.
- (b) Does a similar statement hold for nets? Does the completeness of Y imply the completeness of $\mathcal{L}_s(X, Y)$? (*Hint:* see Exercise 9.18.)

10.3. Let X and Y be l.c.s.'s. Show that, if X is quasibarrelled, then each bounded subset of $\mathcal{L}_b(X, Y)$ is equicontinuous.

10.4 (*a nonquasibarrelled space*). Let X be an infinite-dimensional normed space, and let X_σ denote X equipped with the weak topology. Show that the unit ball in $X' = (X_\sigma)'$ is bounded for the topology of uniform convergence on bounded subsets of X_σ , but is not equicontinuous as a subset of $(X_\sigma)'$. Deduce that X_σ is not quasibarrelled.

10.5 (*a nonbarrelled normed space*). Let c_{00} denote the space of all finite sequences in \mathbb{K} (i.e., of those $x = (x_n) \in \mathbb{K}^{\mathbb{N}}$ for which $x_n = 0$ for all but finitely many n). Let $X = (c_{00}, \|\cdot\|_p)$, where $\|\cdot\|_p$ is the norm induced from ℓ^p , $1 \leq p \leq \infty$.

- (a) Construct a sequence (f_n) in X' which converges to 0 pointwise, but which is unbounded for the standard norm on X' . Deduce that X is not barrelled.
- (b) Construct explicitly a barrel in X which is not a neighborhood of 0.

10.6. (a) Show that a hyperplane (i.e., a codimension 1 vector subspace) of a barrelled l.c.s. is barrelled.

(b) Deduce that there exists an incomplete barrelled normed space.

10.7* (*a nonbornological barrelled space*). Given an uncountable set S , let $X = \mathbb{K}^S$, and let X_0 be the subspace of X consisting of all countably supported functions. Let also $X_1 = X_0 \oplus \mathbb{K}1 \subset X$. Show that

- (a) X and X_0 are barrelled;
- (b) if an l.c.s. Y has a dense barrelled vector subspace, then Y is barrelled;
- (c) X_1 is barrelled;
- (d) a sequentially closed hyperplane of a bornological l.c.s. is closed;
- (e) X_1 is not bornological.

10.8. Construct normed spaces X, Y and a separately continuous bilinear map $f: X \times Y \rightarrow \mathbb{K}$ which is not continuous.

10.9. Let X (resp., Y) be the product (resp., the locally convex direct sum) of countably many copies of \mathbb{K} . Show that the pairing $X \times Y \rightarrow \mathbb{K}$, $(x, y) \mapsto \sum_i x_i y_i$, is separately continuous, but is not continuous.

Definition 10.1. Let X be an l.c.s. A *bornological space associated to X* is a bornological l.c.s. X_{born} together with $i \in \mathcal{L}(X_{\text{born}}, X)$ such that, for each bornological l.c.s. Y and each $\varphi \in \mathcal{L}(Y, X)$, there exists a unique $\psi \in \mathcal{L}(Y, X_{\text{born}})$ satisfying $i \circ \psi = \varphi$.

10.10. Give a functorial interpretation of X_{born} . State and prove a uniqueness theorem for X_{born} .

10.11. Given an l.c.s. X , let $\mathcal{T}_{\text{born}}$ denote the family of all bornological locally convex topologies on X which are finer than the original topology on X . Let X_{born} denote the space X equipped with the inductive locally convex topology generated by the identity maps $\{(X, \tau) \rightarrow X : \tau \in \mathcal{T}_{\text{born}}\}$. Show that X_{born} together with the identity map $X_{\text{born}} \rightarrow X$ is a bornological space associated to X .

10.12. Given an l.c.s. X , define barrelled and the quasibarrelled spaces associated to X , give functorial interpretations for them, and prove their existence and uniqueness.

10.13 (*another construction of X_{born}*). Given an l.c.s. X , let P_{born} denote the family of all seminorms on X which take bounded subsets of X to bounded subsets of \mathbb{R} . Show that $(X, \tau(P_{\text{born}}))$ together with the identity map $(X, \tau(P_{\text{born}})) \rightarrow X$ is a bornological space associated to X .

10.14 (*yet another construction of X_{born}*). Given an l.c.s. X , let $\text{Bdd}(X)$ denote the family of all bounded, absolutely convex subsets of X . Given $B_1, B_2 \in \text{Bdd}(X)$, we let $B_1 \leq B_2$ if B_1 is absorbed by B_2 . For each $B \in \text{Bdd}(X)$ we let $X_B = \text{span}(B)$, and we make X_B into a seminormed space w.r.t. the Minkowski functional of B . Show that

- (a) $\text{Bdd}(X)$ is a directed set, and $\{X_B : B \in \text{Bdd}(X)\}$ is an inductive system of seminormed spaces w.r.t. the inclusion maps $X_{B_1} \rightarrow X_{B_2}$ ($B_1 \leq B_2$);
- (b) $\varinjlim \{X_B : B \in \text{Bdd}(X)\} \cong X_{\text{born}}$.

10.15. Show that

- (a) an l.c.s. is bornological iff it is isomorphic to an inductive limit of seminormed spaces;
- (b) a complete bornological l.c.s. is isomorphic to an inductive limit of Banach spaces.

10.16. Let X and Y be metrizable l.c.s.'s. Suppose that X is complete. Given $\varphi \in \mathcal{L}(X, Y)$, show that either $\varphi(X) = Y$, or $\varphi(X)$ is meagre in Y (i.e., is a union of countably many nowhere dense sets).

10.17 (*Grothendieck's factorization theorem*). Let X be a Fréchet space, (X_n) be a sequence of Fréchet spaces, and Y be an l.c.s. Suppose we have a continuous linear map $\varphi: X \rightarrow Y$ and a sequence $(\varphi_n: X_n \rightarrow Y)_{n \in \mathbb{N}}$ of continuous linear maps such that $\varphi(X) \subset \bigcup_n \varphi_n(X_n)$. Show that

- (a) $\varphi(X) \subset \varphi_n(X_n)$ for some n ;
- (b) if all the maps φ_i are injective, then φ can be decomposed as $\varphi = \varphi_n \psi$ for some n and for some continuous linear map $\psi: X \rightarrow X_n$.

Hint. Let $Z_n = X \times_Y X_n$, and let $\pi_n: Z_n \rightarrow X$ denote the standard projection. By using Baire's theorem and Exercise 10.16, show that $X = \pi_n(Z_n)$ for some n .

10.18 (*Grothendieck's open mapping and closed graph theorems*). Let X and Y be l.c.s.'s. Suppose that X is equipped with the inductive locally convex topology generated by a countable family $(\varphi_n: X_n \rightarrow X)_{n \in \mathbb{N}}$ of linear maps, where X_n are Fréchet spaces and $\bigcup_n \varphi_n(X_n) = X$. Suppose also that Y is equipped with the inductive locally convex topology generated by a (not necessarily countable) family $(\psi_i: Y_i \rightarrow Y)_{i \in I}$ of linear maps, where Y_i are Fréchet spaces (for example, this is true provided that Y is a complete bornological space; see Exercise 10.15). Show that

- (a) each surjective continuous linear map of X onto Y is open;
- (b) each linear map from Y to X whose graph is closed in $Y \times X$ is continuous.